# Compliance Management

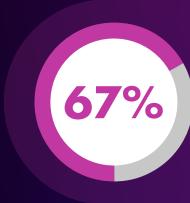
The overarching concern for all lending and deposit services

### Chief Compliance Officer survey results<sup>1</sup>



Believe regulators' focus on compliance will increase in the next one to three years





Plan to use technology and automation to overhaul their compliance functions





Only 9% have completed a compliance policy simplification project, while 95% indicate they have or will undertake this effort



#### The reality of compliance<sup>2</sup>



**75**%

Use manual spreadsheets

to track



4 - 7
Hours per week tracking



Only 1/3
Have learning

management systems to address training requirements

## Compliance management

/ analyzing updates





Increase operational efficiency



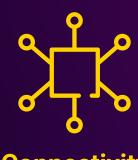


# Why Finastra?



**Trust**Used by over 9,000

financial institutions globally



**Connectivity**Supported by a network

of end-to-end lending solutions



**guaranteed**LaserPro provides a

\$2MM warranty that it's documents are compliant in all 50 states plus D.C.



#### **Scale**Built in flexibility

to grow with your business



ONE PARTNER, ONE SOLUTION...

2. Based on internal Finastra client survey

1. Report - The Compliance Journey: Summary of KPMG CCO Survey Results, 2021

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