

FUSION COMPLIANCE MANAGEMENT
ENTERPRISE RISK MANAGEMENT

Break Down Operational Siloes with a Clear and Insightful View of your Entire Organization's Risk Exposure in one Centralized Solution



of organizations do not have a formal ERM program



of senior-level executive say they are not prepared to address risks as they arise



of organizations have experienced a critical risk in the past three years

An effective Enterprise Risk Management (ERM) program, offered through Fusion Compliance Management, allows you to create streamlined processes and workflows that mitigates risk across your entire organization.

In today's regulatory environment, a strong framework for managing risk widely throughout an organization is imperative.

Often burdened with siloed business divisions, multiple processes and lack of cross-training, financial institutions have struggled in the past to identify and manage their risk across the business.

With Fusion Compliance Management Enterprise Risk Management solution, your organization will benefit from improved structure, informative reporting and clear risk analysis. With an aggregated view of enterprise wide risk data and factors, you can unlock the potential of improved synergies from consumer to commercial.

- **Understand Risk Across Your Organization**

Aggregate data from multiple business divisions and back office teams for a 360 view of your institution

- **Create Consistency in Risk Management**

Streamline your operation by sharing processes, risk definitions and reporting systems

- **Mitigate Risk Events**

Automatic compliance updates and alerts keep your team informed and work prioritized

- **Improve Decision Making**

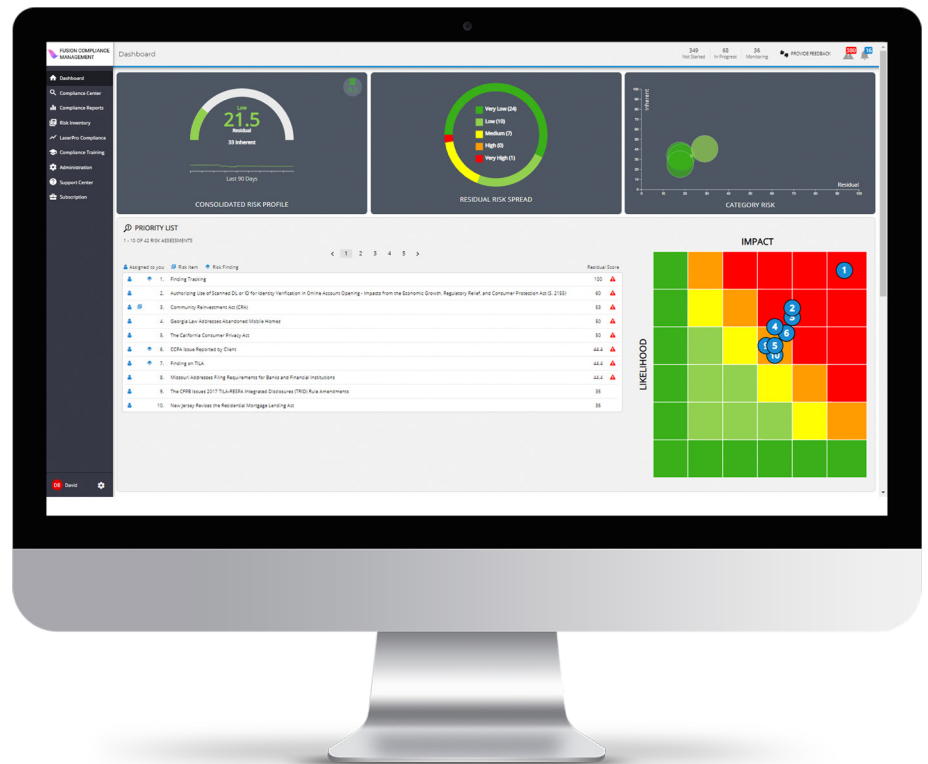
Increase internal communication and quickly inform leadership of opportunities for improvement and alerts

A Fully Integrated Enterprise Risk and Compliance Management System that Empowers your Team Through Improved Insights and Communications

- Improve line of sight with unlimited users
- Stay organized with Consolidated Enterprise Risk View Dashboards and Risk & Compliance Calendars
- Increase understanding with downloadable Risk Reports for Enterprise Risk and Compliance Management
- Better manage processes with configurable Enterprise Risk Framework and Compliance Risk Inventory with Integrated Risk Assessments
- Manage all enterprise compliance and risks with configurable templates, automated workflows, notifications and document management
- Better manage staffing hours with assignable tasks, customizable risk assessments and risk finding tracking
- Bring consistency across your organization with customizable taxonomy, automated risk threshold settings, warning reports and predictive analytics

Keep your employees ahead of the compliance curve with access to Fusion Training Management. With a configurable Training Course Library and Case Assessments, your organization

will be able to efficiently train employees on your internal policies and assess the effectiveness of your training, ensuring you have a strong compliance training program.



Easy to read dashboards keep your risk teams aligned and maximized

About Finastra

Finastra unlocks the potential of people and businesses in finance, creating a platform for open innovation. Formed in 2017 by the combination of Misys and D+H, we provide the broadest portfolio of financial services software in the world today—spanning retail banking, transaction banking, lending, and treasury and capital markets. Our solutions enable customers to deploy mission critical technology on premises or in the cloud. Our scale and geographical reach means that we can serve customers effectively, regardless of their size or geographic location—from global financial institutions, to community banks and credit unions. Through our open, secure and reliable solutions, customers are empowered to accelerate growth, optimize cost, mitigate risk and continually evolve to meet the changing needs of their customers. 90 of the world's top 100 banks use Finastra technology.

Please visit finastra.com

Finastra and the Finastra 'ribbon' mark are trademarks of the Finastra group companies.

© 2019 Finastra. All rights reserved.

North American Headquarters

744 Primera Boulevard,
Suite 2000, Lake Mary,
FL 32746
United States
T: +1 800 989 9009

