

TREASURY & CAPITAL MARKETS
SECURITIES FINANCE

The Solution to Today's Securities Finance Challenges

With a holistic front-to-back view, our securities finance workstation is designed to help you succeed in today's world of highly interconnected and regulated markets.



Alignment of securities finance and collateral management remains a top priority for us.”

Head of Treasury,
International Bank

For many years, best-of-breed solutions have helped banks to grow their securities finance business by focusing on optimizing a specific function. However, in an industry that is increasingly interconnected and regulated, their limited functionality – especially for risk management – and lack of integration mean these tools are becoming outdated today.

With its two-way relationship between the front and back offices, securities finance needs a holistic, front-to-back view with a focus on back-office integration. Banks are also looking for a solution that offers a strong analytical framework for risk management, and higher automation.

Today's trading solution for securities finance needs three main features:

- **Inventory management:** the ability to monitor, investigate and act on positions
- **Automation:** saving time on repetitive tasks such as securities transfers
- **Integration:** for better insight across desks and to ensure compliance

Finastra's securities finance workstation allows for a simplified architecture and a centralized view across desks, supporting business growth, reducing costs and improving straight-through processing.

Monitor, Investigate and Act

Live your journey through position monitoring, trade capture, operations and P&L, with a solution designed around end-user workflows.

Securities Inventory Lifecycle



Securities inventory must provide the bigger picture, with quick access to details for fast and effective decision making.”



Monitor Your Positions:

- Real-time position update for repos, buy-sell back, collateral movements and outright trades
- Intraday view split between different settlement statuses
- Dynamic switch between flows view and balance view, to reconcile both back and front office expectations
- Valuation of the inventory in a reference currency
- Highly customizable inventory view with a large choice of aggregation criteria

Investigate Your Positions:

- Drill down to trade economics and settlement statuses to understand the composition of your security position, and identify opportunities

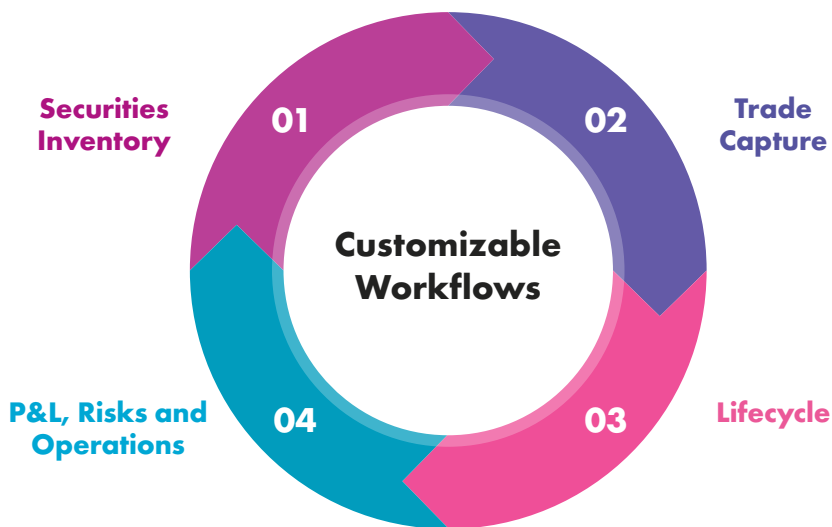
Act on Your Positions:

- Cover short positions in one click
- Design your own one click actions which automatically scan the inventory and pre-fill new trade or post trade proposals
- Apply life-cycle events like "recall" on trades involved in specific positions

Business-driven Features

Our securities finance dashboard is designed so you can monitor your activity, control it from front-to-back-to-collateral, and seize business opportunities at a glance.

Our Securities Finance Solution



1. Securities Inventory

- Positions of Sec Fin trades, collateral movements and outright
- Settlement awareness

2. Trade Capture

- Single vs bulk entry
- Single vs basket collateral
- Bilateral vs triparty
- Term, Open, Evergreen, Extendable
- Semi or fully automatic trade entry for repeat processes like flattening

3. Lifecycle

- Single vs bulk post-trade events
- Events history
- Re-rate, fee mark, rollover, partial termination, early termination, etc.
- Collateral selection and allocation

4. P&L, Risks and Operations

- Real time P&L
- Exposure management
- Accruals for accounting
- SFTR reporting
- DV01 and Theta sensitivities



NPV and accruals are automatically computed for trades and all reports, allowing fast pricing on the fly.”

About Finastra

Finastra is building an open platform that accelerates collaboration and innovation in financial services, creating better experiences for people, businesses and communities. Supported by the broadest and deepest portfolio of financial services software, Finastra delivers this vitally important technology to financial institutions of all sizes across the globe, including 90 of the world's top100 banks. Our open architecture approach brings together a number of partners and innovators. Together we are leading the way in which applications are written, deployed and consumed in financial services to evolve with the changing needs of customers. Learn more at finastra.com

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