

## FUSION COMPLIANCE MANAGEMENT

# A Change Management System Designed to De-Stress Regulatory Compliance Across your Enterprise



Manage regulatory burden



Support risk-based decisioning



Increase operational efficiency



Enhance loan portfolio growth

**Fusion Compliance Management automates a financial institution's compliance program and provides industry-leading content to support better risk-based decisions.**

### The Challenge

In a dynamic regulatory environment, Compliance Managers are under pressure to not only control risk, but also manage the rising costs of compliance.

Financial institutions struggle with inconsistent, siloed and manual risk assessment processes that are costly, time consuming and increase risk exposure. Financial institutions need a solution that eases the compliance burden, saves time and reduces risk.

### A Single Solution for Your Enterprise Need

Regulators are on the watch for compliance management systems with emphasis on compliance risk management practices designed to manage consumer compliance risk, support compliance and

prevent consumer harm. Strengthen your compliance management system with Fusion Compliance Management.

Fusion Compliance Management assists financial organizations in meeting examiner, board and management expectations.

- Oversight and commitment to your institutions compliance management system (CMS)
- Effectiveness of your institution's change management process
- Comprehension, identification and management of risks arising from products, services and activities
- Self-identification of enterprise wide compliance issues and corrective action plans

# Efficiently Navigate Regulatory Compliance with Fusion Compliance Management

	Essential	Premium
<b>Description</b>	Single user with limited feature access and compliance updates. Perfect for small organizations getting used to using a Regulatory Compliance Management System.	Unlimited feature and content access for your compliance team with configurable compliance tools. Perfect for more complex compliance teams ready to use an automated Regulatory Compliance Management System.
<b>Users</b>	Single User	Unlimited Users (with linked Microsoft Login)
<b>Dashboard</b>	Basic	Premium Dashboard with Consolidated Compliance Risk View and Compliance Calendar
<b>Reports</b>	Basic	Premium Downloadable Reports for all Your Compliance Risk Items and Risk Assessments
<b>Risk Framework</b>	Pre-Configured Federal Regulatory Risk Inventory	<b>Essential +</b> Configurable Federal Regulatory and Compliance Risk Inventory with Integrated Risk Assessments
<b>Risk Assessment Template</b>	Pre-Configured	Configurable Compliance Risk Assessment Templates
<b>Task Management w/ Assignable Tasks</b>	✓	Manage All Compliance Tasks
<b>Risk Finding Tracking</b>		Report, Track, Assess and Mitigate Risk Findings for Your Compliance Items
<b>Risk Assessments Comments and Notes Board</b>		✓
<b>Create Your Own Customizable Risk Assessments</b>		✓
<b>Customizable Institution Taxonomy Tags</b>		✓
<b>Automated Risk Threshold Settings with Risk Response Strategy Tracking</b>		✓
<b>Fusion LaserPro Compliance Warnings Reports with Predictive Analytics</b>		✓
<b>Content</b>	Federal	Federal and Multi-State

## About Finastra

Finastra unlocks the potential of people and businesses in finance, creating a platform for open innovation. Formed in 2017 by the combination of Misys and D+H, we provide the broadest portfolio of financial services software in the world today—spanning retail banking, transaction banking, lending, and treasury and capital markets. Our solutions enable customers to deploy mission critical technology on premises or in the cloud. Our scale and geographical reach means that we can serve customers effectively, regardless of their size or geographic location—from global financial institutions, to community banks and credit unions.

Through our open, secure and reliable solutions, customers are empowered to accelerate growth, optimize cost, mitigate risk and continually evolve to meet the changing needs of their customers. 90 of the world's top 100 banks use Finastra technology.

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